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PTO/SB/05 (05-03)

Approved for use through 04/30/2003. OMB 0651-0032

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| UTILITY PATENT APPLICATION TRANSMITTAL  (Only for new nonprovisional applications under 37 CFR 1.53(b))  |   | Docket No.  | EPIT-001  |  |                               |  |  |  |  |  |
|--|---|---|---|--|-------------------------------|--|--|--|--|--|
|  |   | ntor  | ZHANG, DONGXIAO   |  |                               |  |  |  |  |  |
|  |   | HUMANIZED R   | ABBIT ANTIBODIES  |  |                               |  |  |  |  |  |
|  |   | fail Label No.  | EV224000  | coous  |                               |  |  |  |  |  |
| APPLICATION ELEMENTS   |   | nali Label IVO.   | EV334000609US  Commissioner for Patents                           |  |                               |  |  |  |  |  |
| SEE MPEP chapter 600 concerning utility patent application of  | ADDRESS TO: Mail Stop Patent Application P.O. Box 1450 Alexandria, VA 22313-1450  |   |   |  |                               |  |  |  |  |  |
| Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original and a duplicate for fee processing)   |   |   | ROM or CD-R   |  | ate, large table or           |  |  |  |  |  |
| 2. Applicant claims small entity status. See 37 CFR 1.27.  |   | fif applicab  | le, all necessa   | nd/or Amino Acid Sequence Submission , all necessary) uter Readable Form (CRF) |                               |  |  |  |  |  |
| 3. Specification [Total Pages: 42]   |   | ·   | ion Sequence  | -  | 10                            |  |  |  |  |  |
| -Descriptive title of the invention -Cross Reference to Related Applications -Statement Regarding Fed sponsored R & D  | -Descriptive title of the invention -Cross Reference to Related Applications  i LI CD-ROM or CD-R (2 copies); or -Descriptive title of the invention -Cross Reference to Related Applications |   |   |  |                               |  |  |  |  |  |
| <ul> <li>-Reference to sequence listing, a table,<br/>or a computer program listing appendix</li> </ul>  | c. 🛭 Stat   | c. Statements verifying identity of above copies                              |   |  |                               |  |  |  |  |  |
| -Background of the Invention -Brief Summary of the Invention   |   | ACCOMPANYING APPLICATION PARTS  |   |  |                               |  |  |  |  |  |
| <ul> <li>-Brief Description of the Drawings (if filed)</li> <li>-Detailed Description</li> </ul>   |   | 9. Assi   | onment Paner  | s (cover s   | sheet & documents(s))         |  |  |  |  |  |
| -Claim(s) -Abstract of the Disclosure  |   | 1 -   | FR 3.73(b) St   | ,  | Power of Attorney             |  |  |  |  |  |
| 4. Drawing(s) (35 U.S.C. 113) [Total Pages: 8]   |   | (whe  | en there is an a  | assignee)  | ·                             |  |  |  |  |  |
| 5. Oath or Declaration [Total Pages:]  | 11. English Translation Document (if applicable)  12. Information Disclosure Copies of IDS Citations •  |   |   |  |                               |  |  |  |  |  |
| a Newly executed (original or copy)     b Copy from a prior application (37 CFR 1.63 (d))  | ment (IDS)/PTO-1449   |   |   |  |                               |  |  |  |  |  |
| (for continuation/divisional with Box 18 completed)  |   |   | 13. Preliminary Amendment  14. Return Receipt Postcard (MPEP 503) |  |                               |  |  |  |  |  |
| i. DELETION OF INVENTOR(S)   |   | (Should be specifically itemized)  15. Certified Copy of Priority Document(s) |   |  |                               |  |  |  |  |  |
| Signed statement attached deleting inventor(s) Named in the prior application, see 37 CFR  | (if fo  | (if foreign priority is claimed)  |   |  |                               |  |  |  |  |  |
| 1.63(d)(2) and 1.33(b)  16. Nonpublication Request under 35 U.S.C. 122  (b)(2)(B)(i). Applicant must attach form PTO/SB/35   |   |   |   |  |                               |  |  |  |  |  |
| 6. Application Data Sheet. See 37 CFR 1.76 (0)(2)(B)(I). Applicant must attach form P10/SB/35 or its equivalent.   |   |   |   |  |                               |  |  |  |  |  |
| 18. If a CONTINUING APPLICATION, check appropriate box   | x, and sup  |   |   | elow an  | d in a preliminary amendment, |  |  |  |  |  |
| or in an Application Data Sheet under 37 CFR 1.76:  Continuation Divisional Continu  | uation-in-na  | rt (CIP) of prior   | application No  | : 60/404   | .117 filed 8/15/2002          |  |  |  |  |  |
| Prior application information: Examiner: Group Art Unit:   |   |   |   |  |                               |  |  |  |  |  |
| For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference.  The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.  |   |   |   |  |                               |  |  |  |  |  |
| 19. COR  | RRESPOND  | ENCE ADDRESS  |   |  |                               |  |  |  |  |  |
| Customer Number or Bar Code Label  | n har code lahel her  | or Correspondence address below   |   |  |                               |  |  |  |  |  |
| (Insert Customer No. or Attach bar code label here)   Name   BOZICEVIC, FIELD & FRANCIS LLP  |   |   |   |  |                               |  |  |  |  |  |
| 200 Middlefield Road, Suite 200  |   |   |   |  |                               |  |  |  |  |  |
| Address City Menlo Park  | State   | California  |   | Zip  | 94025                         |  |  |  |  |  |
| Country United States of America   | Telephone   | (650) 327-3400  |   | Code<br>Fax  | (650) 327-3231                |  |  |  |  |  |
| Name James S. Keddje, Ph D.  |   | Registration No. (  |   |  | 48,920                        |  |  |  |  |  |
| Signature 1900 Signat |   |   |   | Date   | August 7, 2003                |  |  |  |  |  |

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time with vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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| FEE TRANSMITTAL  |              |                             | Complete if Known  |               |  |  |                |  |  |  |
|--|--------------|-----------------------------|--------------------|---------------|--|--|----------------|--|--|--|
|  |              |                             | Application Number |               |  |  |                |  |  |  |
| for FY 2003  |              | Filing Date                 |                    | Herewith (0   | Herewith (08-07-2003)                        |  |                |  |  |  |
| 101 F 1 2003   |              | First Named Invento         |                    |               |  | ZHANG, DONGXIAO  |                |  |  |  |
| Effective 01/01/2003. Patent fees are subject to annual revision.  |              |                             | er Nan             | ne            |  |  |                |  |  |  |
|  |              |                             | 1                  |               |  |  |                |  |  |  |
|  |              | Art Unit                    |                    | ot No         | EPIT-001                                     |  |                |  |  |  |
|  | ┰┙           | Attorney Docket No. EPI     |                    |               | [EP11-001                                    |  | <del></del>    |  |  |  |
| METHOD OF PAYMENT (check all that apply)   | ╄            | FEE CALCULATION (continued) |                    |               |  |  |                |  |  |  |
| ☐ Check ☐ Credit Card ☐ Money Order ☐ Other ☐ None   | 3.           | ADDITIC<br>Large            | ONAL               | FEES<br>Small |  |  |                |  |  |  |
| ☑ Deposit Account:   |              | Entity                      |                    | Entity        |  |  |                |  |  |  |
| Deposit Account Number 50-0815   | Fee<br>Cod   |                             |                    |               |  | ntion  | Fee Paid       |  |  |  |
| Deposit Account Name Bozicevic, Field & Francis LLP  | 1            |                             |                    | (Ψ)           | 1 00 003011                                  | Fee Description  |                |  |  |  |
| The Commissioner authorized to: (check all that apply)   | 105          |                             | 2051               | 65            | •  | rcharge – late filing fee or oath  |                |  |  |  |
|  | 1052         | 2 50                        | 2052               | 25            | Surcharge – late pro<br>cover sheet          | visional filing fee  | or             |  |  |  |
| Charge any additional fee(s) during the pendency of this application   | 1053         | 3 130                       | 1053               | 130           | Non-English specific                         | ation  |                |  |  |  |
| Charge fees indicated below, except for the filing fee   | 1812         |                             | 1812               |               | For filing a request f                       |  | mination       |  |  |  |
| to the above-identified deposit account.   | 1804         |                             | 1804               | 920*          | Requesting publicati                         | •  |                |  |  |  |
| FEE CALCULATION  | 1            |                             |                    |               | Examination action                           | ·  |                |  |  |  |
| 1. BASIC FILING FEE  | 1805         | 5 1,840*                    | 1805               | 1,840         | *Requesting publicat<br>Examiner action      | ion of SIR after   |                |  |  |  |
| Large Entity Small Entity  | 125          | 1 110                       | 2251               | 55            | Extension for reply v                        | vithin first month   |                |  |  |  |
| Fee Fee Fee Fee Description Fee Paid Code (\$) Code (\$)   | 1252         |                             | 2252               | 205           | Extension for reply v                        |  | nth            |  |  |  |
| 1001 750 2001 375 Utility filing fee 375   | 1253         |                             | 2253               | 465           | Extension for reply v                        |  |                |  |  |  |
| 1002 330 2002 165 Design filing fee  | 1254         |                             | 2254               | 725           | Extension for reply v                        |  | h              |  |  |  |
| 1003 520 2003 260 Plant filing fee   | 1255         | 5 1,970                     | 2255               | 985           | • •  | nsion for reply within fifth month                                       |                |  |  |  |
| 1004 750 2004 375 Reissue filing fee   | 140          | 1 320                       | 2401               | 160           | Notice of Appeal                             | • •  |                |  |  |  |
| 1005 160 2005 80 Provisional filing fee  | 1402         | 2 320                       | 2402               | 160           | Filing a brief in supp                       | g a brief in support of an appeal  |                |  |  |  |
| SUBTOTAL (1) 375   | 1403         | 3 280                       | 2403               | 140           | Request for oral hea                         | ring   |                |  |  |  |
| 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE  |              |                             | 1451               | 1,510         | Petition to institute a                      | public use proce   | eding          |  |  |  |
| Fee from   | 1452         | 2 110                       | 2452               | 55            | Petition to revive - u                       | etition to revive – unavoidable  |                |  |  |  |
| Extra Claims below Fee Paid  | 1453         | 1,300                       | 2453               | 650           | Petition to revive u                         | ınintentional  |                |  |  |  |
| Total Claims 20 -20** = 0 x =  | 1501         | •                           | 2501               | 650           | Utility issue fee (or re                     | eissue)  |                |  |  |  |
| Indep. 1 -3** = 0 x =  | 1502         |                             | 2502               | 235           | Design issue fee                             |  |                |  |  |  |
| Claims Multiple Dependent :=   | 1503         |                             | 2503               | 315           | Plant issue fee                              |  |                |  |  |  |
| Multiple Dependent =   | 1406         |                             | 1460               | 130           |  | tions to the Commissioner  |                |  |  |  |
| Large Entity Small Entity  | 1807<br>1806 |                             | 1807<br>1806       | 50            | -  | rocessing fee under 37 CFR 1.17(q)                                       |                |  |  |  |
| Fee Fee Fee Fee Description Code (\$) Code (\$)  | 8021         |                             | 8021               | 180<br>40     |  | omission of Information Disclosure Stmt                                  |                |  |  |  |
| Code (\$) Code (\$)<br>1202 18 2202 9 Claims in excess of 20   | 1002         | 40                          | 0021               | 40            |  | cording each patent assignment per<br>perty (times number of properties) |                |  |  |  |
| 1201 84 2201 42 Independent claims in excess of 3  | 1809         | 750                         | 2809               | 375           | Filing a submission a<br>(37 CFR § 1.129(a)) | g a submission after final rejection<br>CFR § 1.129(a))                  |                |  |  |  |
| 1203 280 2203 140 Multiple dependent claim, if not paid  | 1810         | 750                         | 2810               | 375           | For each additional i                        | ach additional invention to be<br>iined (37 CFR § 1.129(b))              |                |  |  |  |
| 1204 84 2204 42 ** Reissue independent claims  | 1801         | 750                         | 2801               | 375           | Request for Continue                         |  | RCE)           |  |  |  |
| over original patent   | 1802         | 900                         | 1802               | 900           | Request for expedite                         | uest for expedited examination   |                |  |  |  |
| 1205 18 2205 9 ** Reissue claims in excess of 20 and over original patent  | Othe         | ther fee (specify)          |                    |               |  |  |                |  |  |  |
| SUBTOTAL (2) \$ **or number previously paid, if greater; For Reissues, see above.  *Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) |              |                             |                    |               |  |  |                |  |  |  |
| SUBMITTED BY Complete (if applicable)  |              |                             |                    |               |  |  |                |  |  |  |
| Registration No.   |              |                             |                    |               |  |  |                |  |  |  |
| Name (Print/Type) James S. Keddie, Ph.D.   | (Attor       |                             |                    |               | 20   | Telephone  | (650) 327-3400 |  |  |  |
| Signature Cums > Volve   |              |                             |                    |               |  | Date   | 08-07-2003     |  |  |  |

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